Facet Joint Denervation

File Name: facet_joint_denervation

Description of Procedure or Service

Facet joint denervation is performed under local anesthetic and with fluoroscopic guidance. A needle or probe is directed to the median branch of the dorsal ganglion innervating the facet joint, where multiple thermal lesions are produced, typically by a radiofrequency generator. A variety of terms may be used to describe radiofrequency (RF) denervation (e.g., rhizotomy, rhizolysis). In addition, the structures to which the RF energy is directed may be referred to as facet joint, facet nerves, medial nerve or branch, median nerve or branch, or dorsal root ganglion.

Background

Percutaneous radiofrequency (RF) facet denervation is used to treat neck or back pain originating in facet joints with degenerative changes. Diagnosis of facet joint pain is confirmed by response to nerve blocks. Patients generally are sedated for the RF procedure. The goal of facet denervation is long-term pain relief. However, the nerves regenerate, and repeat procedures may be required.

Alternative methods of denervation include pulsed RF, laser, chemodenervation and cryoablation. Pulsed RF consists of short bursts of electrical current of high voltage in the RF range but without heating the tissue enough to cause coagulation. It is suggested as a possibly safer alternative to thermal RF facet denervation. Temperatures do not exceed 42°C at the probe tip versus temperatures in the 60°C range reached in thermal RF denervation, and tissues may cool between pulses. It is postulated that transmission across small unmyelinated nerve fibers is disrupted but not permanently damaged, while large myelinated fibers are not affected. With chemical denervation, injections with a diluted phenol solution, a chemical ablating agent, are injected into the facet joint nerve.

Regulatory Status

A number of radiofrequency generators and probes have been cleared for marketing through the U.S. Food and Drug Administration’s (FDA) 510(k) process. One device, the SInergy® by Kimberly Clark/Baylis, is a water-cooled single-use probe that received FDA clearance in 2005, listing the Baylis Pain Management Probe as a predicate device. The intended use is in conjunction with a radiofrequency generator to create radiofrequency lesions in nervous tissue.

Related Policies

Total Facet Arthroplasty
Diagnosis and Treatment of Sacroiliac Joint Pain

***Note: This Medical Policy is complex and technical. For questions concerning the technical language and/or specific clinical indications for its use, please consult your physician.
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Policy

BCBSNC may provide coverage for Facet Joint Denervation when it is determined to be medically necessary because the medical criteria and guidelines shown below are met.

Benefits Application

This medical policy relates only to the services or supplies described herein. Please refer to the Member's Benefit Booklet for availability of benefits. Member's benefits may vary according to benefit design; therefore member benefit language should be reviewed before applying the terms of this medical policy.

When Facet Joint Denervation is covered

Non-pulsed radiofrequency denervation of cervical facet joints (C3-4 and below) and lumbar facet joints may be considered medically necessary when the criteria in the Policy Guidelines section below are met.

When Facet Joint Denervation is not covered

Radiofrequency denervation is considered investigational for the treatment of chronic spinal/back pain for all uses that do not meet the criteria listed in the Policy Guidelines section, including but not limited to treatment of thoracic facet or sacroiliac (SI) joint pain.

All other techniques of facet joint denervation for the treatment of chronic back pain are considered investigational including, but not limited to:

- Pulsed radiofrequency denervation;
- Laser;
- Cryodenervation;
- Chemical denervation (e.g., alcohol, phenol, or high-concentration local anesthetics).

Therapeutic (as opposed to diagnostic) medial branch blocks are considered investigational.

Policy Guidelines

Candidates for radiofrequency facet (RF) denervation should meet all of the following criteria:

1. No prior spinal fusion surgery in the vertebral level being treated;
2. Disabling non-radicular low back (lumbosacral) or neck (cervical) pain, suggestive of facet joint origin as documented in the medical record based upon all of the following:
   (a) history, consisting of mainly axial or non-radicular pain, and
   (b) physical examination, with positive provocative signs of facet disease, and
   (c) radiographic imaging that excludes other causes of cervical or lumbar pain prior to treatment with spinal injections and that documents the presence of facet disease;
3. Pain has failed to respond to three (3) months of conservative management which must consist of therapies that include:
   (a) oral analgesics (e.g., nonsteroidal anti-inflammatory medications, acetaminophen), and
   (b) manipulation or physical therapy, and
   (c) a home exercise program;
4. A trial of controlled diagnostic medial branch blocks or facet joint injections consisting of two (2) separate positive blocks/injections or placebo controlled series of blocks under fluoroscopic guidance that have each resulted in at least a 50% reduction in pain; and
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5. If there has been a prior successful radiofrequency (RF) denervation, then a minimum time of six (6) months has elapsed since prior RF denervation treatment (per side, per anatomical level of the spine). Repeat blocks are not necessary after 6 months or more have elapsed since prior RF denervation treatment, if symptoms and treatment are at the same location(s) or spinal level(s), and presentation is similar to that of initial or prior treatment.

6. If no prior diagnostic medial branch blocks have ever been done, even if the patient responded well to prior RF denervations, those denervations are NOT a substitute for an initial trial of nerve blocks, and, therefore, medial branch nerve blocks would be necessary before a repeat RF denervation is done.

**Rationale:**
The evidence for diagnostic medial branch blocks in individuals who are suspected of having facet joint pain includes 1 small randomized trial and several large case series. Relevant outcomes include symptoms, functional outcomes, quality of life, and medication use. There is considerable controversy about the role of the blocks, the number of positive blocks required, and the extent of pain relief obtained. Reported studies have used single or double blocks and at least 50% or at least 80% improvement in pain and function. This evidence suggests that there are relatively few patients who exhibit pain relief following 2 nerve blocks, but that these select patients may have relief of pain for several months following RF denervation. Other large series reported prevalence and false positive rates following controlled diagnostic blocks, although there are questions about the reference standard used in these studies. The evidence available supports a threshold of at least 75% to 80% pain relief to reduce the false positive rate. Evidence is sufficient to determine qualitatively that the technology result in a meaningful improvement in the net health outcome.

The evidence on radiofrequency ablation in individuals with facet joint pain includes several randomized controlled trials. Relevant outcomes include symptoms, functional outcomes, quality of life, and medication use. While evidence is limited to a few studies with small sample sizes, RF facet denervation appears to provide at least 50% pain relief in carefully selected patients. Diagnosis of facet joint pain is difficult. However, response to controlled medial branch blocks and the presence of tenderness over the facet joint appear to be reliable predictors of success. When RF facet denervation is successful, repeat treatments appear to have similar success rates and duration of pain relief. Thus, the data indicate that in carefully selected individuals with lumbar or cervical facet joint pain, RF treatments can result in improved outcomes. Evidence is sufficient to determine qualitatively that the technology results in a meaningful improvement in the net health outcome.

The evidence for therapeutic medial branch blocks and alternative methods of facet joint denervation in patients who have facet joint pain includes uncontrolled case series and randomized trials without a sham control. Relevant outcomes are symptoms, functional outcomes, quality of life, and medication use. Pulsed RF does not appear to be as effective as nonpulsed RF denervation, and there is insufficient evidence to evaluate the efficacy of other methods of denervation (e.g., alcohol, laser, cryodenervation) for facet joint pain. There is insufficient evidence to evaluate the effect of therapeutic medial branch blocks on facet joint pain. Evidence is insufficient to determine the effects of the technology on health outcomes.

Billing/Coding/Physician Documentation Information

This policy may apply to the following codes. Inclusion of a code in this section does not guarantee that it will be reimbursed. For further information on reimbursement guidelines, please see Administrative Policies on the Blue Cross Blue Shield of North Carolina web site at www.bcbsnc.com. They are listed in the Category Search on the Medical Policy search page.

**Applicable codes:** 64633, 64634, 64635, 64636
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***Note: The American Medical Association’s CPT Editorial Panel decided in June 2005 that the unlisted CPT code 64999 should be used for pulsed RF treatment as opposed to other specific codes.

BCBSNC may request medical records for determination of medical necessity. When medical records are requested, letters of support and/or explanation are often useful, but are not sufficient documentation unless all specific information needed to make a medical necessity determination is included.

Scientific Background and Reference Sources


Senior Medical Director - 5/2009


Medical Director –7/2011


Specialty Matched Consultant Advisory Panel – 10/16/13


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Policy Implementation/Update Information

6/8/09  New policy adopted from the BCBS Association. Reviewed with Senior Medical Director 5/7/09. "BCBSNC may provide coverage for Radiofrequency Facet Joint Denervation when it is determined to be medically necessary because the medical criteria and guidelines shown below are met." Under the "When Covered" section; "Radiofrequency denervation of cervical facet joints (C3-4 and below) and lumbar facet joints may be considered medically necessary when all the criteria listed below are met: 1.) No prior spinal fusion surgery in the vertebral level being treated; 2.) Low back (lumbosacral) or neck (cervical) pain, suggestive of facet joint origin as evidenced by the absence of nerve root compression documented in the medical record on history, physical, and radiographic evaluations; and the pain is not radicular; 3.) Pain has failed to respond to three months of conservative management which may consist of therapies such as nonsteroidal anti-inflammatory medications, acetaminophen, manipulation, physical therapy, and a home exercise program; 4.) A trial of controlled diagnostic medial branch blocks (3 separate positive blocks or placebo controlled series of blocks) under fluoroscopic guidance has resulted in at least a 50% reduction in pain; and 5.) If there has been a prior successful radiofrequency (RF) denervation, a minimum time of six months has elapsed since prior RF treatment (per side, per anatomical level of the spine)." The following indications are noted under the "When Not Covered" section; "1.) Radiofrequency denervation is considered investigational for the treatment of chronic spinal/back pain for all uses that do not meet the criteria listed above, including but not limited to treatment of thoracic facet or sacroiliac (SI) joint pain. 2.) Pulsed radiofrequency denervation is considered investigational for the treatment of chronic spinal/back pain." Notice given 6/8/09. Policy effective 9/14/09. (btw)


6/22/10  Policy Number(s) removed (amw)


8/30/11 "Description” section updated. Added the following statement to the “When Not Covered” section; “All other techniques of facet joint denervation for the treatment of chronic back pain are considered investigational including, but not limited to: Laser; Cryodenervation.” Reworded #2 under “Policy Guidelines” to indicate; “Non-radicular low back (lumbosacral) or neck (cervical) pain, suggestive of facet joint origin as documented in the medical record on history, physical and radiographic evaluations. Radiographic evidence is necessary to exclude other causes of cervical or lumbar pain prior to treatment with spinal injections and to document the presence of facet disease;” #3 “Pain has failed to respond to three (3) months of conservative management which must consist of therapies, including oral analgesics (e.g., nonsteroidal anti-inflammatory medications, acetaminophen), and manipulation or physical therapy, and a home exercise program;” and Added the following to #5 “Repeat blocks are not necessary after 6 months since prior RF treatment, if symptoms and treatment are at the same location(s) or spinal level(s), and presentation is similar to that of initial or prior treatment.” #6 “If no prior diagnostic medial branch blocks have ever been done, even if the patient responded well to prior RF ablations, those ablations are NOT a substitute for an initial trial of nerve blocks, and, therefore, medial
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branch nerve blocks would be necessary before repeat RF ablation is done.” Medical Director review 7/18/2011. Notification given 8/30/2011. Policy effective 12/6/2011. References added. (btw)

1/1/12 Specialty Matched Consultant Advisory Panel review 11/30/2011. “Description” section revised. “Chemical denervation” added to the “When Not Covered” section. “All other techniques of facet joint denervation for the treatment of chronic back pain are considered investigational including, but not limited to: Pulsed radiofrequency denervation; Laser; Cryodenervation; and Chemical denervation.” “Therapeutic (as opposed to diagnostic) medial branch blocks are considered investigational.” “Policy Guidelines” updated. Added the following new 2012 CPT codes to the “Billing/Coding” section: 64633, 64634, 64635, and 64636. Deleted CPT codes: 64622, 64623, 64626, and 64627. Notification given 1/1/2012. Policy effective date 4/1/2012. (btw)

1/24/12 Added new 2012 CPT codes, 64633, 64634, 64635, and 64636 to Billing/Coding section. Removed the following deleted codes, 64622, 64623, 64626, and 64627. Also removed 77003 since this service is now reported as part of the new procedure codes. (btw)

11/13/12 Specialty Matched Consultant Advisory Panel review 10/17/2012. No change to policy intent. (btw)

12/11/12 Description section revised. Added “Non-pulsed” to When Covered section. Senior Medical Director review 11/27/12. (btw)

11/26/13 Specialty Matched Consultant Advisory Panel review 10/16/2013. Added “(e.g., alcohol, phenol, or high-concentration local anesthetics)” as examples of chemical denervation. Policy Guidelines updated. No change to policy intent. (btw)


2/24/15 Added “facet joint injections” to 4. under Policy Guidelines. (sk)


12/30/15 Reference added. (sk)

11/22/16 Specialty Matched Consultant Advisory Panel review 10/26/2016. (sk)

6/30/17 Specialty Matched Consultant Advisory Panel review 4/26/2017. No change to policy statement. (an)

Medical policy is not an authorization, certification, explanation of benefits or a contract. Benefits and eligibility are determined before medical guidelines and payment guidelines are applied. Benefits are determined by the group contract and subscriber certificate that is in effect at the time services are rendered. This document is solely provided for informational purposes only and is based on research of current medical literature and review of common medical practices in the treatment and diagnosis of disease. Medical practices and knowledge are constantly changing and BCBSNC reserves the right to review and revise its medical policies periodically.