

997 Functional Acknowledgement Samples

Three samples are presented: one acceptance/confirmation, one rejection, and one combination of acceptance and rejection of transaction sets within the transmission. All three 997 Functional Acknowledgement samples have been broken out into an 'unwrapped' format, a line break placed after every tilde, for easy viewing.

Note that BCBSNC uses only three values for the AK9-01 Element: "A" (Accepted), "R" (Rejected), or "P" (Partially Accepted – at least one transaction set was rejected).

Accepted Transaction Set

This 997 Functional Acknowledgement illustrates the acceptance of the only Transaction Set within the Functional Group and Interchange Control Envelope.

```
ISA*00*      *00*      *30*560891234  *30*566012345
*020813*1505*U*00401*000000007*1*P*::~~
GS*FA*560891234*566012345*20020813*1505*4*X*004010X098~
ST*997*0004~
AK1*HC*5~
AK2*837*0008~
AK5*A~
AK9*A*1*1*1~
SE*6*0004~
GE*1*4~IEA*1*000000007~
```

Rejected Transaction Set

This 997 Functional Acknowledgement illustrates the rejection of the only Transaction Set within the Functional Group and Interchange Control Envelope.

```
ISA*00*      *00*      *30*560891234  *30*566012345
*020813*1505*U*00401*000000007*1*P*::~~
GS*FA*560891234*566012345*20020813*1505*4*X*004010X098A1~
ST*997*0004~
AK1*HC*5~
AK2*837*0008~
AK3* CLM*40*2300*8~
AK4*5*2*1332*7~
AK5*R*5~
AK9*R*1*0~
SE*6*0004~
GE*1*4~
IEA*1*000000007~
```

Accepted and Rejected Transaction Sets - Same Functional Group

This 997 Functional Acknowledgement illustrates an Interchange Control Envelope (ISA/IEA) consisting of one Functional Group (GS/GE) for the 837 Institutional Claim, which had two Transaction Sets (ST/SE). The first Transaction Set has been accepted (control number 277336) and the second Transaction Set (control number 277337) has been rejected for errors found in

two LX segments (see both AK3 and AK4 segments of the 997). The AK9 returns a value of "P", indicating that at least one Transaction Set was rejected from the ISA/IEA Envelope.

```

ISA*00*      *00*      *30*560891234  *30*566012345
*030304*1647*U*00401*000001324*1*P*:~
GS*FA*560891234*566012345*20030304*1647*633*X*004010X096A1~
ST*997*0633~
AK1*HC*444~
AK2*837*277336~
AK5*A~
AK2*837*277337~
AK3*LX*40*2400*8~
AK4*1*554*7~
AK3*LX*43*2400*8~
AK4*1*554*7~
AK5*R*5~
AK9*P*3*3*1~
SE*12*0633~
GE*1*633~
IEA*1*000001324~

```